

Road Coloring

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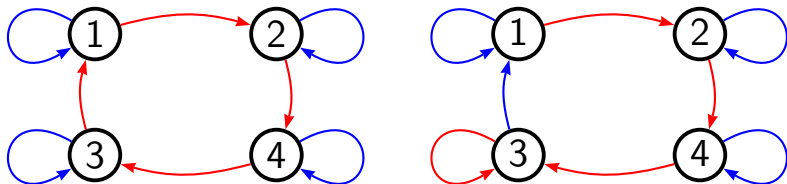


CANT 2012

Roadmap of the talk

- Synchronizing words
- The Road Coloring Theorem
- The Road Coloring Theorem for periodic graphs
- Application to Huffman compression
- The hybrid Černý-Road Problem

Synchronizing words



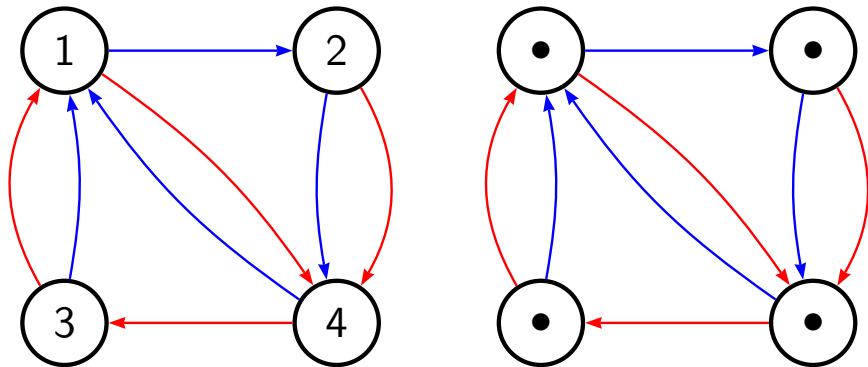
Synchronizing word (magic word, homing sequence, Rome word): a word w such that all paths labeled w terminate at the same vertex.

Synchronized automaton: automaton which has a synchronizing word.

The automaton on the right is **synchronized**.

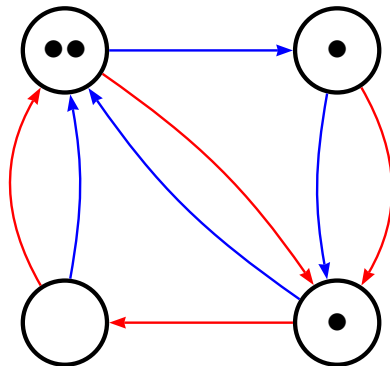
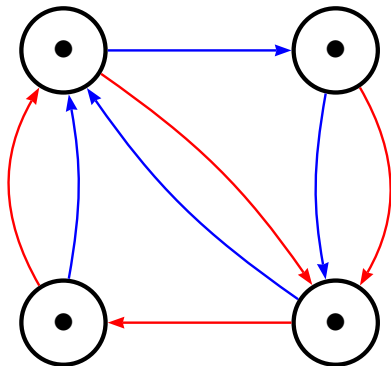
The word **RRR** is synchronizing.

The solitaire game



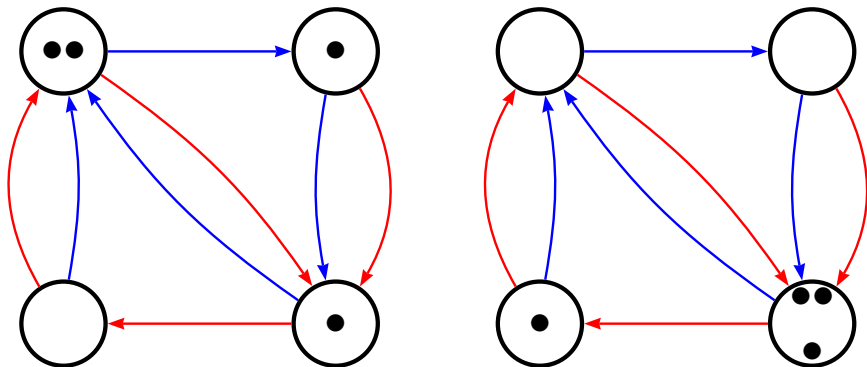
One pebble on each state.

The solitaire game: one plays B



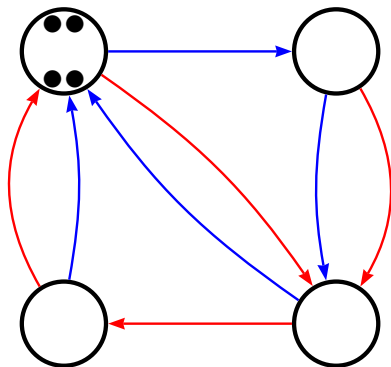
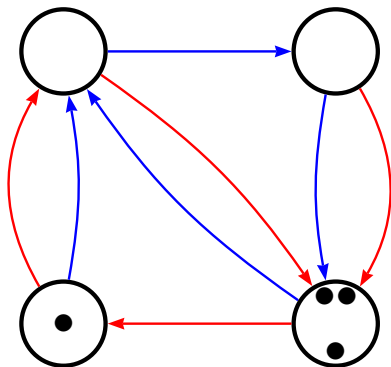
One plays B

The solitaire game: one plays R



One plays R

The solitaire game: one plays B



One plays B

The Road Coloring Problem (Adler, Goodwin, Weiss, 1977)

A directed graph with constant out-degree is **road colorable** if there is a coloring of its edges such that

- the edges going out of a vertex have distinct colors;
- there is a synchronizing word.

A graph is **aperiodic** if it is strongly connected and the **gcd of the cycle lengths is 1**.

A strongly connected graph which has a synchronized coloring is aperiodic.

A graph is **admissible** if it is strongly connected, aperiodic and has constant out-degree.

Theorem [A. Trahtman 2007]

Any admissible graph is road colorable.

Applications

- **lossless source coding**: the Huffman decoder can be chosen synchronized, hence resistant against errors
- **communication protocols**: test sequences to check whether a protocol conforms to its specification
- **symbolic dynamics**: for two aperiodic shifts of finite type X, Y with the same entropy, there exists a factor map $\varphi : X \rightarrow Y$ which is almost one-to-one. (invertible on "typical" sequences, *i.e.* on bi-infinite sequences which contain a synchronizing word infinitely often to the left and to the right).

Algorithm: the notion of stable pair

A **synchronizable pair** of states in an automaton is a pair of states (p, q) such that there is a word w with $p \cdot w = q \cdot w$.

A **stable pair** of states in an automaton is a pair of states (p, q) such that, for any word u , $(p \cdot u, q \cdot u)$ is a synchronizable pair.

The **stable pair congruence** is the relation defined on the set of states by $p \equiv q$ if (p, q) is a stable pair.

Lemma [Culik, Karhumäki, Kari, 2002]

If the quotient of \mathcal{A} by a stable pair congruence is colorable, then \mathcal{A} is colorable.

Algorithm for Road Coloring

FINDCOLORING (aperiodic automaton \mathcal{A} , quotient automaton \mathcal{B})

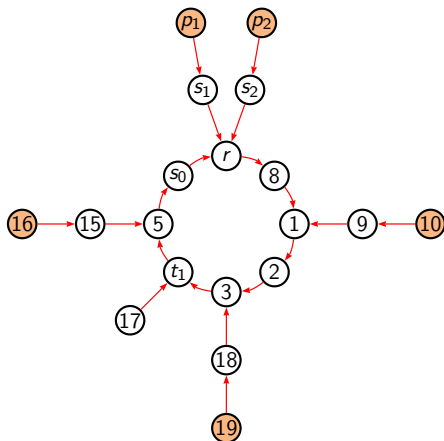
```
1  $\mathcal{B} \leftarrow \mathcal{A}$ 
2 while ( $\text{size}(\mathcal{B}) > 1$ )
3     do UPDATE( $\mathcal{B}$ )
4          $\mathcal{B}, (s, t) \leftarrow \text{FINDSTABLEPAIR}(\mathcal{B})$ 
5         lift the coloring up from  $\mathcal{B}$  to the automaton  $\mathcal{A}$ 
6          $\mathcal{B} \leftarrow \text{MERGE}(\mathcal{B}, (s, t))$ 
7 return  $\mathcal{A}$ 
```

Finding a coloring which has a stable pair

We start with some coloring and fix a color (red).

The **level** of a state is its distance to the red cycle of its cluster.

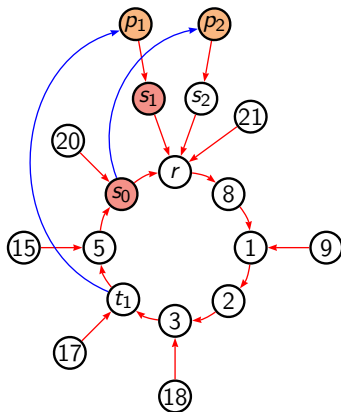
Maximal states are states of maximal level.



Finding a coloring which has a stable pair

Lemma [Trahtman 2007]

If all maximal states belong to the same tree, then there is a stable pair.



Proof of Trahtman's lemma

Lemma [Trahtman 2007]

If all maximal states belong to the same tree, then there is a stable pair.

A **minimal image** $I = Q \cdot w$ is an image minimal for set inclusion. For any word u , we have $I \cdot u$ is a minimal image.

Proof.

Let I be a minimal image and ℓ be the maximal level.

By irreducibility, it contains a maximal state p in a tree on a cycle C . If there is $q \neq p$ maximal in I , then $|I \cdot a^\ell| < |I|$ (contradiction).

Let m be a common multiple of the lengths of all red cycles.

Let s_0 be the predecessor of r in C and s_1 the child of r containing p .

Let $J = I \cdot a^{\ell-1}$ and $K = J \cdot a^m$.

We have $J = \{s_1\} \cup R$ with $R \subset 0$ -level and $K = \{s_0\} \cup R$.



Proof of Trahtman's lemma (2)

Lemma [Trahtman 2007]

If all maximal states belong to the same tree, then there is a stable pair.

A **minimal image** $I = Q \cdot w$ is an image minimal for set inclusion. For any word u , we have $I \cdot u$ is a minimal image.

Proof.

Let s_0 be the predecessor of r in C and s_1 the child of r containing p .
Let $J = I \cdot a^{\ell-1}$ and $K = J \cdot a^m$.

We have $J = \{s_1\} \cup R$ with $R \subset 0$ -level and $K = \{s_0\} \cup R$.

Let w a word of minimal rank. For any word v , $|J \cdot vw| = |K \cdot vw| = |I|$.

We claim that the set $(J \cup K) \cdot vw$ is a minimal image.

Indeed, $J \cdot vw \subseteq (J \cup K) \cdot vw \subseteq Q \cdot vw$. (all 3 are equal).

But $(J \cup K) \cdot vw = R \cdot vw \cup s_0 \cdot vw \cup s_1 \cdot vw$.

This forces $s_0 \cdot vw = s_1 \cdot vw$.

Thus (s_0, s_1) is a stable pair.



Finding a stable pair with a sequence of flips

A **flip**: an exchange of the labels (with one a) of two edges going out of some state.

Make a **sequence of flips** such that

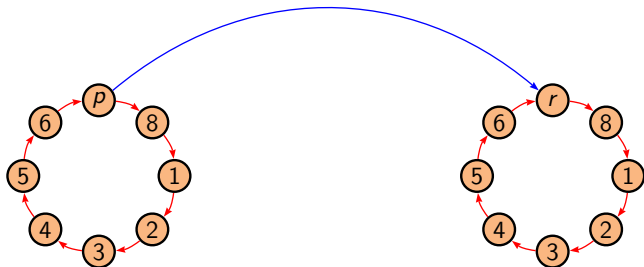
- either all maximal states belong to a same tree,
- or the number N_0 of 0-level states increases

We consider several cases corresponding to the geometry of the automaton.

Finding a stable pair with a sequence of flips (2)

Case 1 : The maximal level is zero

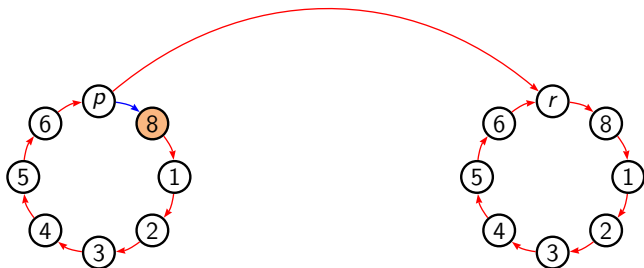
- If the set of outgoing edges of each state is a bunch, then there is only one red cycle, the graph is not aperiodic.
- Let p with $p \xrightarrow{a} q$ and $p \xrightarrow{b} r$ and $q \neq r$. We flip these edges. We get a unique maximal tree, hence a stable pair.



Finding a stable pair with a sequence of flips (2)

Case 1 : The maximal level is zero

- If the set of outgoing edges of each state is a bunch, then there is only one red cycle, the graph is not aperiodic.
- Let p with $p \xrightarrow{a} q$ and $p \xrightarrow{b} r$ and $q \neq r$. We flip these edges. We get a unique maximal tree, hence a stable pair.



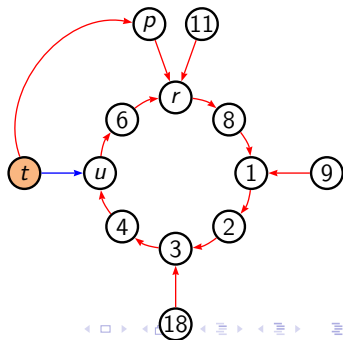
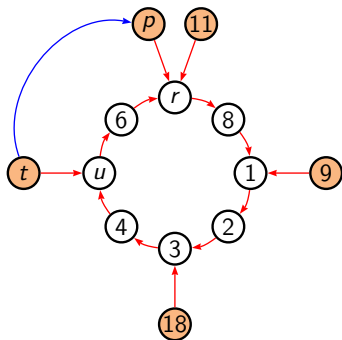
Finding a stable pair with a sequence of flips (3)

Case 2 : The maximal level is $\ell > 0$.

Let p maximal, r its root, and $t \xrightarrow{b} p$.

We denote $u = t \cdot a$.

- Case 2.1. If t is not in the same cluster as r , or if t has a positive level and does not belong to the a -path from p to r , we flip $t \xrightarrow{b} p$ and $t \xrightarrow{a} u$ and get an automaton which has a unique maximal tree.



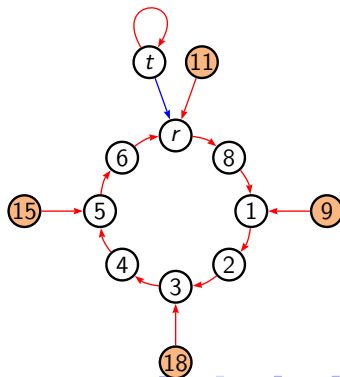
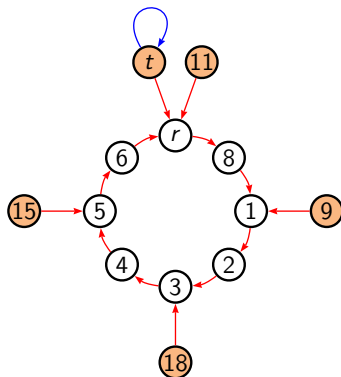
Finding a stable pair with a sequence of flips (4)

Case 2 : The maximal level is $\ell > 0$.

Let p maximal, r its root, and $t \xrightarrow{b} p$.

We denote $u = t \cdot a$.

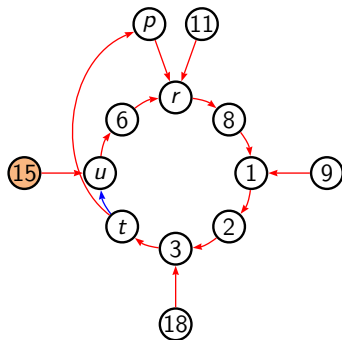
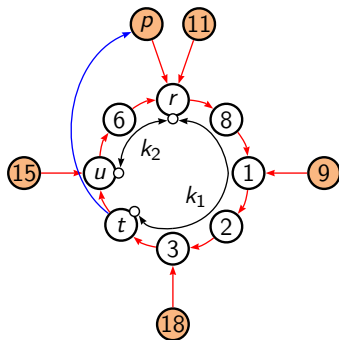
- Case 2.2. If t belongs to the a -path from p to r , we flip $t \xrightarrow{b} p$ and $t \xrightarrow{a} u$ and increase the number of N_0 of 0-level states.



Finding a stable pair with a sequence of flips (5)

Case 2 : The maximal level is $\ell > 0$.

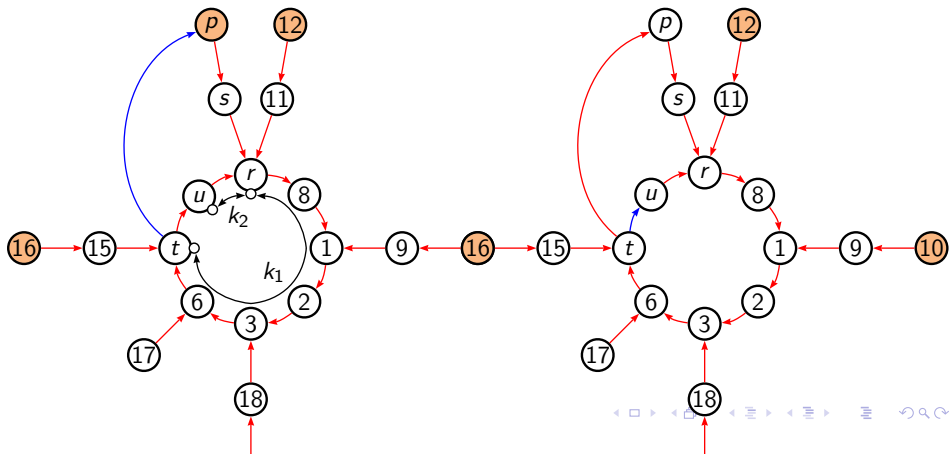
- Case 2.3. We assume that t belongs to the cycle containing r . Let k_1 be the length of the simple a -path from r to t and k_2 the length of the simple a -path from u to r .
 - If $k_2 > \ell$, we flip the edges $t \xrightarrow{b} p$ and $t \xrightarrow{a} u$ and get an automaton which has a unique maximal tree.



Finding a stable pair with a sequence of flips (6)

Case 2.3 : The maximal level is $\ell > 0$, $t \in C$.

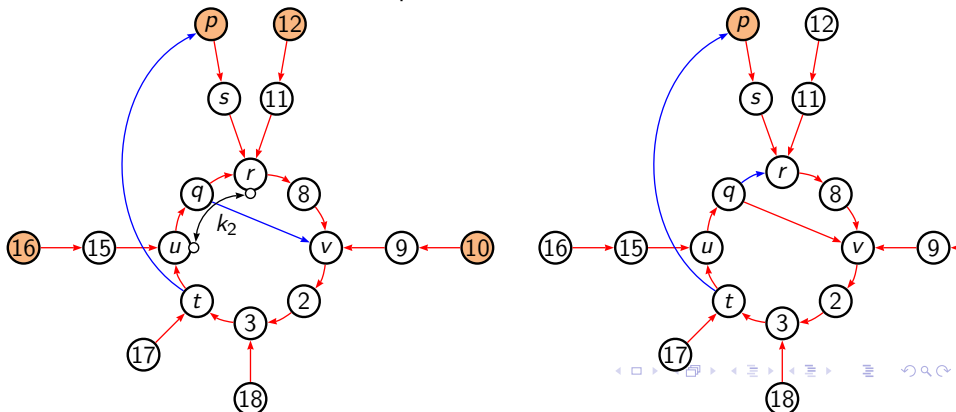
- If $k_2 < \ell$, we flip the edges $t \xrightarrow{b} p$ and $t \xrightarrow{a} u$ and get an automaton which has strictly more states of null level since $k_1 + \ell + 1 > k_1 + k_2 + 1$.



Finding a stable pair with a sequence of flips (7)

Case 2.3 : The maximal level is $\ell > 0$, $t \in C$.

- If $k_2 = \ell$, let q be the predecessor of r on the cycle, let s be the child of r ascendant of p in the maximal tree T .
 - If q has no bunch, there are edges $q \xrightarrow{a} r$ and $q \xrightarrow{c} v$ with $v \neq r$. We flip these edges. If r belongs to the new red cycle, N_0 increases. If not, $\text{level}(r) \geq 1$ in the new automaton and thus the new automaton has a unique maximal tree.



Finding a stable pair with a sequence of flips (8)

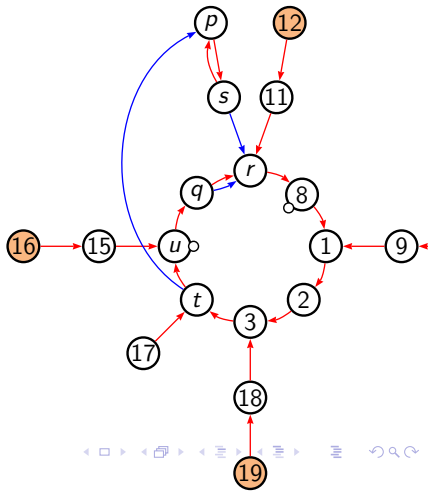
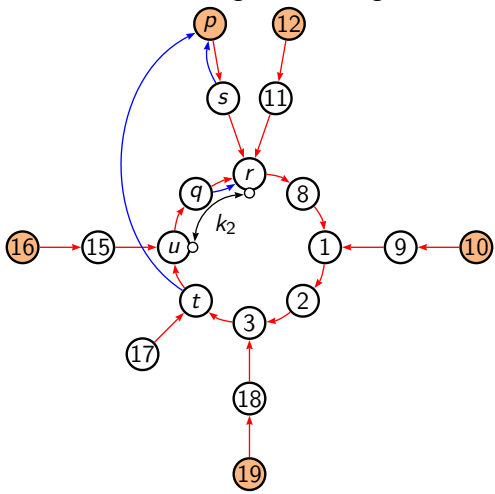
Case 2.3 : The maximal level is $\ell > 0$, $t \in C$.

- If $k_2 = \ell$, let q be the predecessor of r on the cycle, let s be the child of r ascendant of p in the maximal tree T .
 - If q and s have bunches, (q, s) is a stable pair.

Finding a stable pair with a sequence of flips (9)

Case 2.3 : The maximal level is $\ell > 0$, $t \in C$.

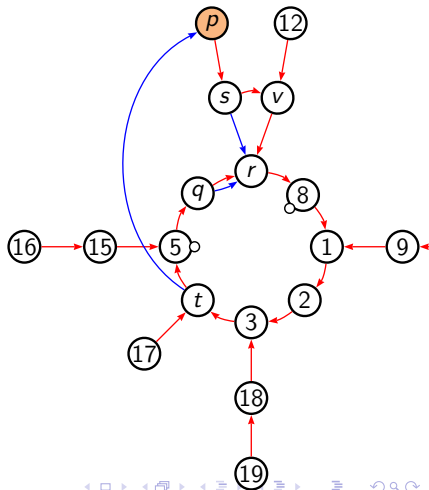
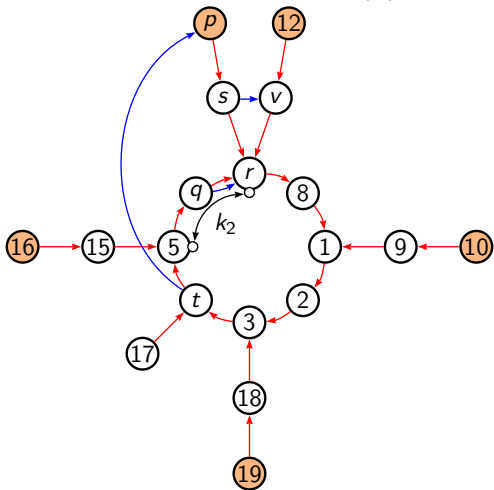
- We have $k_2 = \ell$. If q has a bunch and s not, there are edges $s \xrightarrow{a} r$ and $s \xrightarrow{c} v$, with $v \neq r$. If there is an a -path from v to s , we flip the two edges, creating a new red cycle, which increases N_0 .



Finding a stable pair with a sequence of flips (10)

Case 2.3 : The maximal level is $l > 0$, $t \in C$.

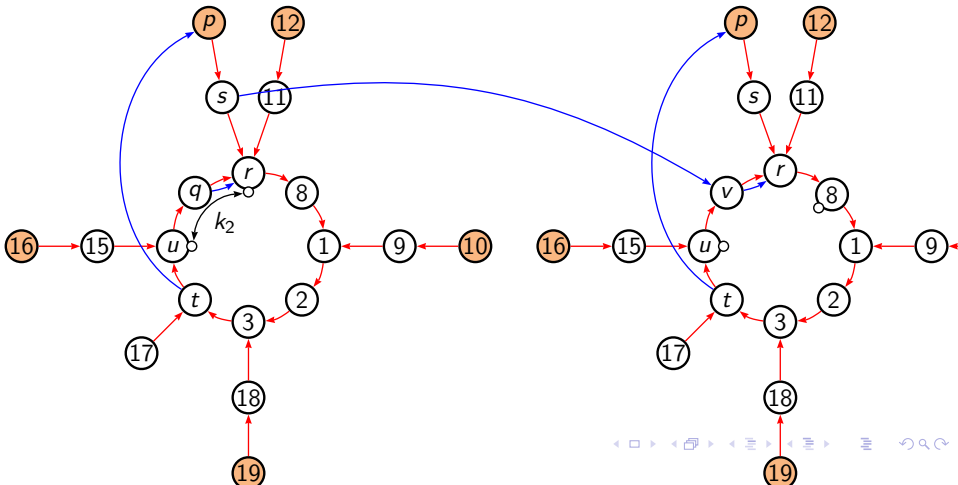
- We have $k_2 = l$. If q has a bunch and s not. There is no a -path from v to s and $\text{level}(v) > 0$, we flip $s \xrightarrow{a} r$ and $s \xrightarrow{c} v$.



Finding a stable pair with a sequence of flips (11)

Case 2.3 : The maximal level is $l > 0$, $t \in C$.

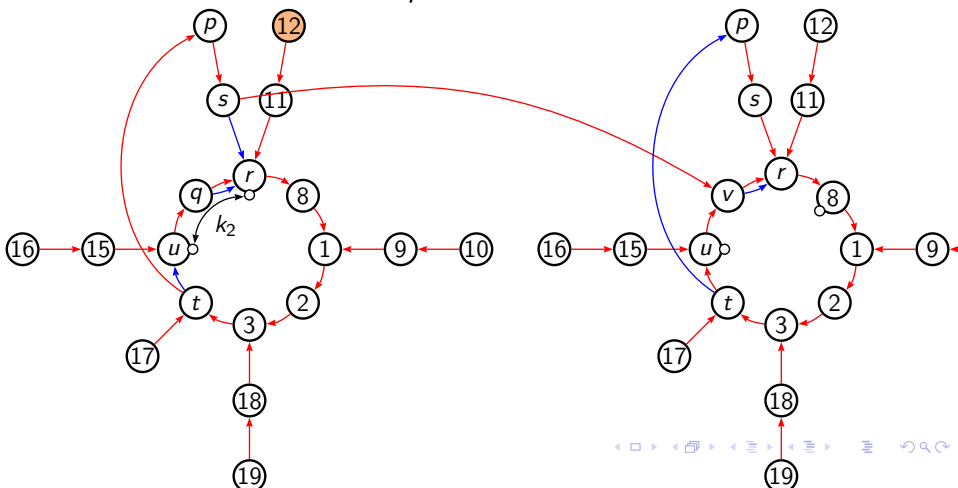
- We have $k_2 = l$. If q has a bunch and s not. We assume that $\text{level}(v) = 0$, and v is in another cluster, we flip $s \xrightarrow{a} r$ and $s \xrightarrow{c} v$ and also $t \xrightarrow{a} u$ and $t \xrightarrow{b} p$.



Finding a stable pair with a sequence of flips (11)

Case 2.3 : The maximal level is $l > 0$, $t \in C$.

- We have $k_2 = l$. If q has a bunch and s not. We assume that $\text{level}(v) = 0$, and v is in another cluster, we flip $s \xrightarrow{a} r$ and $s \xrightarrow{c} v$ and also $t \xrightarrow{a} u$ and $t \xrightarrow{b} p$.

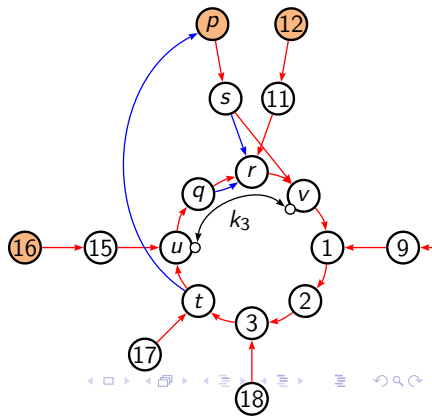
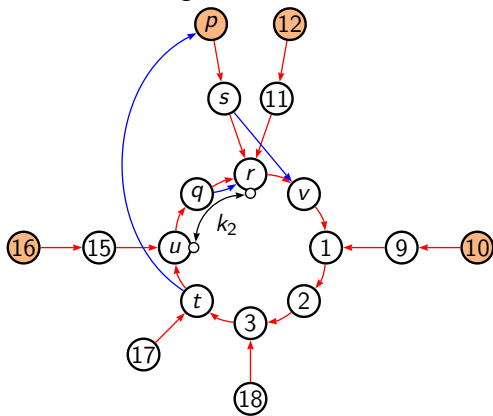


Finding a stable pair with a sequence of flips (12)

Case 2.3.3 : The maximal level is $\ell > 0$, $t \in C$, $k_2 = \ell$

- If q has a bunch and s not, and there are edges $s \xrightarrow{a} r$ and $s \xrightarrow{c} v$, with $v \neq r$ in C . Let us denote by k_3 the length of the simple a -path from u to v .

Since $v \neq r$, we have $k_3 \neq k_2$. Hence $k_3 < \ell$ or $k_3 > \ell$. We flip the edges $s \xrightarrow{a} r$ and $s \xrightarrow{c} v$ and proceed as in Case 2.3.1 or 2.3.2.



Complexity of the Road Coloring problem

The complexity of Trathman's algorithm is cubic $O(\text{card } A \times n^3)$.

Theorem [B., Perrin 2008 preprint]

One can compute a synchronized coloring of an n -state admissible graph in time $O(\text{card } A \times n^2)$.

The Road Coloring Problem for periodic graphs

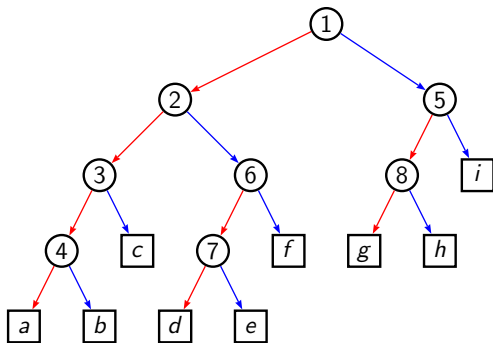
The **period** of a graph is the gcd of the lengths of the cycles.

The **rank** of a colored graph (Q, E) is the minimal cardinality of the sets $Q \cdot u$ for all colored sequences u .

Theorem [B., Perrin preprint 2008, Budzban, Feinsilver 2011]

Any strongly connected with constant outgoing arity has a coloring whose rank is equal to the period of the graph.

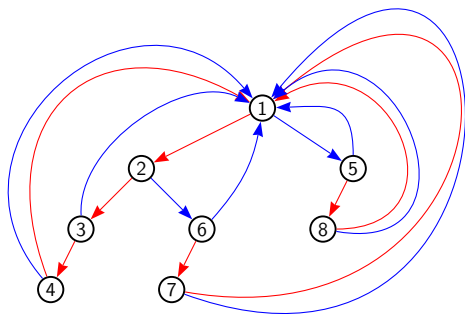
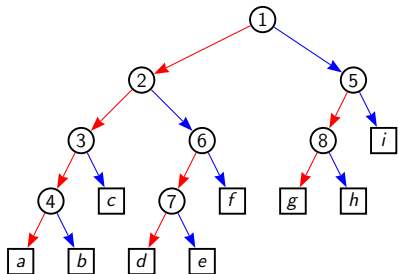
Application to Huffman compression



$a : 1/16, b : 1/16, c : 1/8, d : 1/16, e : 1/16, f : 1/8, g : 1/8, h : 1/8, i :$
 $1/4$

Encoding: $d \leftrightarrow$ **RBRR**

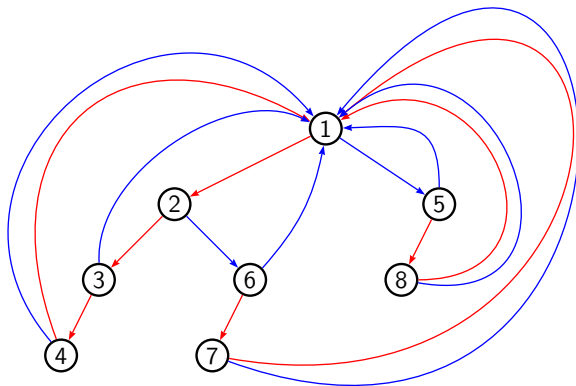
Application to Huffman compression (2)



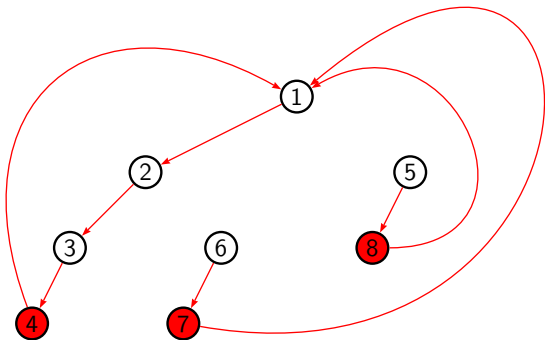
A **non synchronized** Huffman decoder.

When the lengths of the codewords in are relatively prime, there is another Huffman code (with the same length distribution) with a synchronized decoder.

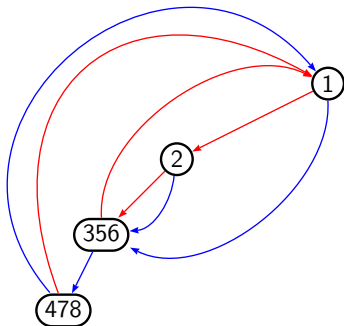
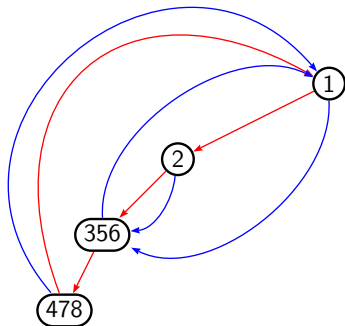
Application to Huffman compression (3)



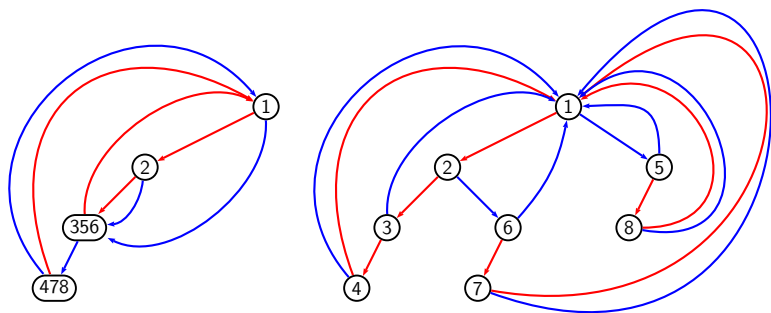
Application to Huffman compression (3)



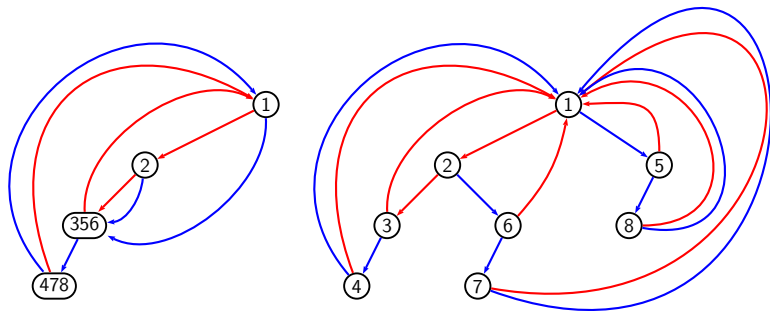
Quotient + flip at 356



Lifting up the flips



Lifting up the flips



$a \leftrightarrow \text{RRBR}$

$b \leftrightarrow \text{RRBB}$

$c \leftrightarrow \text{RRR}$

$d \leftrightarrow \text{RBR}$

...

The sequence **RBR** is a homing sequence.

The Road Coloring algorithm makes the Huffman decoder synchronized.

The hybrid Černý-Road Problem

Volkov raised the following question:

What is the minimum length of a synchronizing word for a synchronized coloring of an aperiodic graph?

We conjecture that a synchronized coloring such that the coloring is moreover one-cluster can be obtained with the same complexity. This guaranties a minimum length of a synchronizing word of a length at most quadratic in the number of vertices.